# EXHIBIT A

#### **Consulting Services Agreement**

This Consulting Services Agreement ("Agreement") is effective \_6 April \_\_\_, \_2019\_\_\_ ("Effective Date") by and between FCX Solar, LLC a New Hampshire, Limited Liability Company ("FCX"), and FTC Solar [\_Inc\_\_\_] a \_\_Delaware Corporation ("Client"). In consideration of the mutual covenants contained herein, and for other valuable consideration the receipt and sufficiency of which are hereby acknowledged, FCX and Client agree as follows:

WHEREAS, Client desires to obtain certain services from FCX from time to time; and

WHEREAS, FCX desires to provide such services to Client on the terms set forth below.

FOR AND IN CONSIDERATION OF the premises and mutual agreements contained herein, FCX and Client agree as follows:

### Section 1. Definitions.

"Client Core IP" means all Intellectual Property, conceived, developed, reduced to practice or otherwise owned by Client prior to the Effective Date.

"Client Improvement" means pertaining to the Client Core IP, excluding any FCX Improvement.

"Confidential Information" means information in tangible and/or electronic form that relates to a party's past, present, and/or future research, development, business activities, products, services and technical knowledge, that is disclosed by and designated as confidential by one party ("Discloser") to the other ("Recipient").

"Deliverables" means those tangible results of the performance of the Services that are created for Client by FCX and delivered pursuant to the Agreement.

"FCX Core IP" means all Intellectual Property, conceived, developed, reduced to practice or otherwise owned by FCX prior to the Effective Date, which, for clarity includes, the FCX damper Intellectual Property, the Intellectual Property licensed to Client as set forth in the Patent License Agreement and wind tunnel testing techniques related to the FCX damper Intellectual Property FCX Core IP documentation is attached at Appendix A to this agreement.

**"FCX Improvement"** means any invention (whether or not patentable), improvement, modification, derivative work, or variation of any invention, method, system, or technology pertaining to the FCX Core IP.

"Intellectual Property" means intellectual property, methodologies, templates, concepts, data, algorithms, formulas, know-how, structures, techniques, inventions, developments, processes, discoveries, improvements, programs, systems, tools, source code, object code, databases, applications, engine protocols, routines, models, displays and manuals, including any patents, patent rights, copyrights, trade secrets, trademarks, trade names, service marks and other intellectual property associated with any of the foregoing.

"Joint IP" means any Intellectual Property, other than any Client Core IP, Client Improvement, FCX Core IP or FCX Improvement, jointly (as determined by applicable United States law) conceived, developed, reduced to practice or otherwise created by the parties.

"Patent License" means that certain Patent License Agreement by and between FCX and Client in connection with this Agreement.

"Services" meanstheconsulting services FCX provides for the implementation of the patent rights granted to Client pursuant to that certain and the continued development of the Voyager single-axis tracker platform.

<u>Section 2.</u> Services. FCXwill use reasonable efforts to provide the Services and may utilize subcontractors to perform a portion of the Services.

Section 3. Fees and Payment Terms. All Services are provided on a time and materials basis at a rate of \$190.00 per hour per individual. Clientwill: (i) pay all undisputed portions of the fees; (ii) reimburse all reasonable expenses incurred by FCX in the performance of the Services, including travel and lodging expenses, communication charges and other reasonable supplies; and (iii) pay all taxes, including any interest and penalties from any related deficiency (except taxes based on or measured by FCX's net income).FCX will obtain advance written permission from the Client for any expense exceeding \$500.00.Clientwill pay the amounts in U.S. Dollars to FCX within thirty (30) days of the date of

invoices submitted by FCX. FCXmaycharge interest on any past due amounts at a rate of the lesser of one and one-half (1.5%) percent per month or the highest rate allowed by law, andClientwillindemnify FCX for all costs, including expenses and attorney's fees, incurred by FCX in the collection of overdue payments. An invoice is "disputed" if Client notifies FCX of a good faith dispute within five (5) days of receipt of invoice.

Mutual Confidentiality. During the course of FCX performing Services for Client, each party may be given access to the other party's Confidential Information. Recipient agrees to: (i) protect Discloser's Confidential Information in a reasonable and appropriate manner to the same extent it protects the confidentiality of its own proprietary and confidential information of like kind, but in no event less than a reasonable manner; and (ii) use, reproduce and disclose Discloser's Confidential Information only to perform its obligations and exercise its rightspursuant to the Agreement. Recipient may share Discloser's Confidential Information with its employees and third parties that assist Recipient in its performance of its obligations and the exercise of its rights pursuant to the Agreement and who are subject to nondisclosure obligations no less restrictive than those set forth herein. The obligations set forth in this Section will not apply to information which is: (a) publicly known; (b) already known to the Recipient; (c) disclosed to Recipient by a third party who is not, to Recipient's knowledge, under a confidentiality restriction with respect to such Confidential Information; or (d) independently developed by the Recipient. Disclosure of Confidential Information pursuant to applicable law, a subpoena or other validly issued administrative or judicial process will not be a breach of Recipient's obligations, provided thatRecipient willprovideprior notice to Discloser of such disclosure if permitted by law. Notwithstanding anything to the contrary in this Agreement, Client agrees and hereby grants to FCX the right to use Client's name and logo in FCX's Client credentials and for marketing and publicity purposes associated with FCX's Client credentials, as well as in case studies or press releases related to FCX's performances of Services to Client.

### Section 5. Intellectual Property Ownership.

- 5.1. <u>FCX Intellectual Property</u>. FCX owns all right, title, and interest in and to: (a) the FCX Core IP and any FCX Improvement created by FCX or Client (including any FCX Improvement that is jointly created with Client), jointly or alone and (b) except for any Joint IP (defined below) any other Intellectual Property it develops that is not a Client Improvement. Without further consideration, Client hereby irrevocably assigns to FCX all right, title and interest Client has or acquires in and to any FCX Improvement, including without limitation all Intellectual Property rights thereto. For clarity, FCX does not grant Client an rights to use the FCX Core IP or FCX Improvement under this Agreement as any rights are provided under the Patent License.
- 5.2 <u>Client Intellectual Property.</u> Client owns all right, title, and interest in and to: (a) the Client Core IP and any Client Improvement created by Client or FCX, jointly or alone (including any Client Improvement that is jointly created with FCX) and (b) except for any Joint IP (defined below) any other Intellectual Property it develops that is not a Client Improvement. Without further consideration, FCX hereby irrevocably assigns to Client all right, title and interest FCX has or acquires in and to any Client Improvement, including without limitation all Intellectual Property rights thereto.
- 5.3 <u>Joint IP</u>. FCX and Client will each own an equal undivided interest in all Joint IP. Except for Joint IP which is protected by a patent as set forth in Section 5.4, each Party may fully practice and exploit its rights in such Joint IP and grant these same rights to any third party without the obligation to account to the other Party.
- Patents for Joint IP. Either party may, at its own expense, file for patent protection on Joint IP, provided the party filing the patent application has given the non-filing party written notice that it intends to file the patent application and the right to cooperate with such filing. If the non-filing party elects to equally share the costs associated with any such patent application, prosecution, and/or maintenance then the patent application shall will be jointly owned by both Parties and each Party may fully practice and exploit its rights under such patent and grant these same rights to any third party without the obligation to account to the other Party. In the event one party discontinues funding any of the patent application, prosecution, and/or maintenance costs for a particular patent or patent application, that party will promptly assign its ownership interest in any such patents to the other party.
- 5.5 <u>Cooperation</u>. Each party will, at the other party's request, reasonably assist the other party in obtaining patent protection or other interests in Intellectual Property, andwill execute assignments and other instruments and documents as the other party may consider necessary or appropriate to transfer, evidence, protect, enforce or defend its Intellectual Property.
- 5.6 <u>Litigation</u>. In the event a third party infringes the Jointly IP, either party may assert any rights associated with such Jointly IP and initiate an action for infringement thereof, provided, however, that the other party is given an opportunity in advance to, at its discretion, join in the assertion and action and to share equally in the expenses and recoveries. Each party will use commercially reasonable efforts to reasonably cooperate and assist the other party in any enforcement action brought by the other party against a third party in accordance with this provision, including, without

limitation, joining the action to the extent necessary to permit the other party to maintain the suit at the other Party's expense.

Section 6. Client Responsibilities. Clientwill: (i) ensure that all assumptions set forth in the Agreement are accurate; (ii) provide FCX with reliable, accurate and complete information as required; (iii) make timely decisions and obtain required management approvals; (iv) furnish FCX personnel with a suitable office environment and adequate resources and supplies, as needed; (v) obtain all consents, approvals and licenses necessary from third parties required for FCX to perform its obligations under the Agreement; and (vi) retain responsibility for its compliance with all applicable federal, state/provincial and local laws and regulations. In addition, FCXwill be entitled to rely on all Client decisions and approvals made in relation to the Agreement and/or prior to its execution by the parties. Nothing in the Agreement will require FCX to evaluate, advise on, modify, confirm, or reject such decisions and approvals. As FCX is performing the Services solely for the benefit of Client, Client will indemnify FCX, its affiliates and their principals, agents and personnel against all costs, fees, expenses, damages and liabilities (including attorneys' fees and other defense costs) associated with any third party claim relating to or arising as a result of FCX's provision of the Services, Client's use of the Deliverables, or the Agreement, excluding claims addressed in the Section herein entitled "Infringement Indemnification". As the timely performance of Client obligations and the accuracy of any assumptions set forth in an SOW are material to FCX's ability to provide the Services, in the event Client does not perform Client obligations in a timely manner, or the assumptions are not accurate. FCX has the right to suspend Services, and FCXwill not be responsible for any loss. damage or expense resulting from such suspension.

### Section 7. \_\_\_\_Limited Warranty.

- 7.1 <u>Services Warranty</u>. FCX warrants that the Services will be performed with reasonable care in a diligent and workmanlike manner. FCX's sole obligation and liability and Client's sole and exclusive remedy for breach of this warranty will be for FCX to reperform any Services brought to its attention within thirty (30) days after the Services are performed.
- 7.2 <u>Third-Party Products</u>. FCX does not warrant and is not responsible for any third party products or services, if any. Client's sole and exclusive rights and remedies with respect to any third party products or services are against the third party vendor and not against FCX.
- 7.3 <u>Disclaimer</u>. SECTION 7.1 CONTAINS FCX'S ONLY WARRANTY ARISING OUT OF PROVISION OF THE SERVICES AND DELIVERABLES, AND IS MADE EXPRESSLY IN LIEU OF ALL OTHER WARRANTIES, CONDITIONS AND REPRESENTATIONS, EXPRESS OR IMPLIED, INCLUDING ANY WARRANTIES AND CONDITIONS OF MERCHANTABLETY, MERCHANTABLE QUALITY, NON-INFRINGEMENT, INFORMATIONAL CONTENT, SYSTEMS INTEGRATION, INTERFERENCE WITH ENJOYMENT, FITNESS FOR A PARTICULAR PURPOSE, OR OTHERWISE.

#### Section 8. Risk Allocation.

- 8.1 <u>Cap on Liability</u>. FCX'S TOTAL LIABILITY ARISING OUT OF THE AGREEMENT FOR ALL CLAIMS IN ANY MANNER ARISING IN CONNECTION WITH THE AGREEMENT (WHETHER IN CONTRACT, TORT, NEGLIGENCE, STRICT LIABILITY IN TORT OR BY STATUTE OR OTHERWISE, WHETHER ARISING FROM CONTRACTUAL OR EXTRA-CONTRACTUAL LIABILITY) WILL BE THE PAYMENT OF DIRECT DAMAGES AND SUCH DAMAGES IN NO EVENT WILL: (I) EXCEED IN THE AGGREGATE THE FEES FCX RECEIVES HEREUNDER IN THE TWELVE (12) PRIOR TO THE FIRST CLAIM BROUGHT BY CLIENT UNDER THIS AGREEMENT; OR (II) INCLUDE ANY INDIRECT, SPECIAL, CONSEQUENTIAL, INCIDENTAL, PUNITIVE OR EXEMPLARY DAMAGES OR LOSS (INCLUDING BUSINESS INTERRUPTION, LOST PROFITS, LOST SAVINGS OR LOST BUSINESS), EVEN IF IT HAS BEEN ADVISED OF THEIR POSSIBLE EXISTENCE.
- 8.2 <u>Indemnification</u>. Client will indemnify, defend and hold harmless FCX and its agents, employees, contractors, officers, directors and customers (each a "FCX Indemnitee") from any loss, liability, damage, cost or expense (including, without limitation, reasonable attorneys' fees) (collectively, "*Losses*"), incurred by an FCX Indemnitee in connection with any third party claim, suit, demand or investigation (collectively, "*Claims*"), to the extent arising out of: (a) any breach or alleged breach by Client of any of its obligations under this Agreement; (b) Client's non-compliance with any applicable law; (c) any injury or death of persons, damage to property, or any other damage or loss arising out of or in connection with the sale or provision of any products or services by Client or its affiliates, including any alleged defects, imperfection, and/or inherent dangers (whether obvious or hidden) in such products or services or the use thereof, or any other product liability issues or claims with respect to such products or services; (d) claims made by Client or its affiliates to the public or prospective or actual customers relating to any products or services provided by Client or its affiliates and (e) any willful misconduct or grossly negligent conduct of Client; provided however, such obligation of indemnification will not apply to the extent any Losses or Claims that result from the gross negligence or willful misconduct of an FCX Indemnitee.

### Section 9. Term; Termination

- 9.1 <u>Term.</u> This Agreement will commence upon the Effective Date and will continue for one (1) year unless and until terminated as provided herein. ("Initial Term"). The Agreement may be renewed or extended in writing for any period as may be mutually agreed to by the parties ("Extended Term"). (the Initial Term and any Extended Term, the "Term").
- 9.2 <u>Termination for Convenience</u>. This Agreementmay be terminated at any time for convenience by either party upon thirty (30) days' notice to the other.
- 9.2 <u>Termination for Cause</u>. Either party may, upon giving thirty (30) days' notice identifying specifically the basis for such notice, terminate an Agreement for the material breach of such Agreement unless the breaching party cures such breach within the thirty (30) day period.
- 9.3 <u>Consequences of Termination</u>. In the event of termination other than Termination for Cause, Clientwill pay FCX for all Services rendered and expenses incurred as of the date of termination, andwill reimburse FCX for all unrecovered costs incurred by FCX in anticipation of providing the Services during the term of the Agreement. In the event of Termination for Cause on the part of Client, Client will pay FCX all Services rendered, and expenses incurred as of the date of termination, and will reimburse FCX for all unrecovered costs incurred by FCX in anticipation of providing the Services during the term of the Agreement. In the event of Termination for Cause on the part of FCX, Client will pay FCX only for those agreed expenses incurred as of the date of termination. Client will have no obligation for cost incurrend in anticipation of providing service to Client. The following sections survive the termination or expiration of this Agreement: 3 (with respect to any amounts owed prior to expiration or termination), 4, 5, 7.3, 8, 9.3, and 10.

### Section 10. General

- 10.1 <u>Assignment</u>. This Agreement may not be assigned or otherwise transferred without the prior approval of the other party, which will not be unreasonably withheld or delayed. Notwithstanding the foregoing, in the event of an acquisition of all or substantially all of a party's assets or equity, such party may assign this Agreement to the acquiring company.
- Notices. Any notices given pursuant to the Agreement will be in writing, delivered via registered mail, overnight mail, courier, or personal delivery, to the address set forth below, and will be considered given when received. Either party may change the name or address to which notices or other communications are to be sent by giving notice of such change to the other party. Notices shall be provided to the parties as follows:

FCX Solar LLC c/o Frank Oudheusden 3 Lamson Road Mont Vernon, NH 03057

FTC Solar Inc. c/o Anthony Etnyre 11801 Domain Blvd. 3rd Floor Austin, TX 78758

- 10.3 <u>Independent Contractor</u>. The Agreement does not make either party an agent or legal representative of the other party, and does not create a partnership or joint venture. Both parties are independent contractors and principals for their own accounts.
- 10.4 <u>Insurance</u>. Each party will determine the types and amounts of insurance coverage it requires in connection with the Agreement. Neither party is required to obtain insurance for the benefit of the other party.
- 10.5 <u>No Benefits for Third Parties</u>. Nothing contained in the Agreement, whether express or implied, is intended, or will be deemed, to create or confer any right, interest or remedy for the benefit of any person other than the parties hereto and their successors in interest and their actual permitted assignees.
- 10.6 <u>Residuals</u>. In no event willFCX be precluded from developing for itself, or for others, anything, whether in tangible or non-tangible form, which is competitive with, or similar to, the Deliverables. In addition, FCXwill be free to use the general knowledge, skills and experience of its personnel, and any ideas, concepts, know-how, and techniques that are acquired or used in the course of providing the Services.

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Miscellaneous. Each Agreement constitutes the full and complete statement of the agreement of the parties with 10.7 respect to the subject matter thereof and supersedes any previous agreements, understandings or communications, whether written or oral, express or implied, relating to such subject matter. If there is a conflict between the terms and conditions set forth in this Agreement and the terms and conditions of a Statement of Work, the terms and conditions set forth in this Agreementwill govern. The word "including" will be construed to mean "including, without limitation". The word "or" will mean "and/or" unless the context requires otherwise. The words "day," "month," and "year" mean, respectively, calendar day, calendar month and calendar year. The laws of the State of Delaware and the associated federal laws thereto, will govern the Agreement, without giving effect to the conflict of law rules thereof, if applicable. The parties expressly agree to exclude the application of the U.N. Convention on Contracts for the International Sale of Goods (1980) to the Agreement and the performance of the parties contemplated herein, to the extent that such convention might otherwise be applicable. No action, regardless of form, arising out of, relating to or in any way connected with the Agreement, Services or Deliverables may be brought by either party more than one (1) year after the cause of action has accrued. Neither party will be liable for any delays or failures in performance (other than payment obligations hereunder) due to circumstances beyond its reasonable control. No term of the Agreement will be deemed waived, and no breach of the Agreement excused, unless the waiver or consent is in writing signed by the party granting such waiver or consent. No amendment to the Agreement will be effective unless in writing and signed by the parties. If any term or provision of the Agreement is determined to be illegal or unenforceable, such term or provision will be deemed stricken, and all other terms and provisions will remain in full force and effect. This Agreement may be executed in one or more counterparts and/or by facsimile or electronic submission, each of which will be deemed an original and all of which signed counterparts, taken together, will constitute one instrument.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the Effective Date through their duly authorized representatives.

ACCEPTED BY: FCX Solar, LLC

Authorized Signature

Printed Name and Title

ACCEPTED BY:

FTC Solar, Inc.

Authorized Signature

Anthony P. Etnyre, CEO

Printed Name and Title



Case 1:21-cv-03556-RA-VF Document 17-1 Filed 07/16/21 Page 18 of 74  Electronic Acknowledgement Receipt					
EFS ID:	31779709				
Application Number:	62629931				
International Application Number:					
Confirmation Number:	2831				
Title of Invention:	SOLAR TRACKER SYSTEM				
First Named Inventor/Applicant Name:	Christopher Thomas Needham				
Customer Number:	22918				
Filer:	Brian R. Coleman/Crystal Fong				
Filer Authorized By:	Brian R. Coleman				
Attorney Docket Number:	128682-8001.US00				
Receipt Date:	13-FEB-2018				
Filing Date:					
Time Stamp:	15:47:52				
Application Type:	Provisional				

# **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$140
RAM confirmation Number	021418INTEFSW15490800
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

	Case 1.21-00-03330-NA-VI										
File Listin	File Listing:										
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.						
			322777								
1	Application Data Sheet	ADS_1.pdf	de504ce6679e83dc97a06bc6ab1c0cf6960 d4014	no	8						
Warnings:				l							
Information:	Information:										
This is not an U	SPTO supplied ADS fillable form										
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2		Specification_1.pdf	7b1be47a68e666cc599f837cdf6b279e266e 82bd	yes	9						
Multipart Description/PDF files in .zip description											
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4	Fee Worksheet (SB06)	fee-info.pdf	5fdc56cf2bf378ecc5b74aba0ea7a15cd650 cac9	no	2						
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Total Files Size (in bytes): 547133											

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### Case 1:21-cv-03556-RA-VF Document 17-1 Filed 07/16/21 Page 20 of 74

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Patent Application Fee Transmittal							
Application Number:							
Filing Date:							
Title of Invention:	SO	LAR TRACKER SYSTI	ΞM				
First Named Inventor/Applicant Name: Christopher Thomas Needham							
Filer:	Brian R. Coleman/Crystal Fong						
Attorney Docket Number: 128682-8001.US00							
Filed as Small Entity							
Filing Fees for Provisional							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
PROVISIONAL APPLICATION FILING FEE		2005	1	140	140		
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							

Total in USD	<b>)</b> (\$)	140
	Total in USD	Total in USD (\$)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	128682-8001.US00			
Application ba	ita Sheet 37 Of It 1.70	Application Number				
Title of Invention SOLAR TRACKER SYSTEM						
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.  This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.						

# **Secrecy Order 37 CFR 5.2:**

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pu	rsuant to
☐ 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)	

# **Inventor Information:**

Invent	or	1								Re	emove	
Legal I		•										
Prefix	Give	en Name		Middle Name			Family		Suffix			
	Chris	stopher		Thomas				Needhan	n			
Residence Information (Select One)   US Residency   Non US Residency							Active	e US Military Service				
City	Mou	ntain View		St	ate/Province	HI	Cou	ntr	y of Resi	dence	US	
			I				I					
Mailing	Addr	ess of Inven	tor:									
Addre	ss 1		3 Lamson Rd									
Addre	ss 2											
City		Mont Vernon					State/P	rov	/ince	NH		
Postal	Code	)	03057			Cou	intry i		US			
Inventor 2												
Legal I												
Prefix	Give	en Name			Middle Name	•			Family	Name		Suffix
	Fran	k			Carl			Oudheusden				
Resid	ence	Information	(Select One)	•	US Residency	0	Non US	Re	sidency	Active	e US Military Service	
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All Inv	entor	Must Be L	isted - Addit by selecting t		al Inventor Info Add button.			L (S			Add	

# **Correspondence Information:**

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).

Case 1:21-cv-03556-RA-VF Document 17-1 Filed 07/16/20led in Facility of the provided in the pr

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Application Data Sheet 37 CFR 1		eet 37 CFR 1.76		· · · · · · · · · · · · · · · · · · ·		128682-8001.US00				
				Application Nu	nber					
Title of Invention	SOLAF	R TRACKER SYSTE	TRACKER SYSTEM							
An Address is being provided for the correspondence Information of this application.										
Customer Numbe	r	22918								
Email Address patentprocurement@perkinscoie.com							Add Email	Remov	e Email	
Application Information:										
Title of the Invent	ion	SOLAR TRACKE	R S	YSTEM						
Attorney Docket I	Number	128682-8001.US	00		Small Ent	ity Sta	tus Claimed 🔀			
Application Type		Provisional							,	
Subject Matter		Utility								
Total Number of I	Drawing	Sheets (if any)		2	Suggeste	ed Figu	re for Publication	(if any)		
Filing By Refe	erenc	e:								
Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").  For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).										
Application number o filed application	f the prev	riously Filing	Filing date (YYYY-MM-DD)				Intellectual Property A	uthority or	Country	
Publication I	nforn	nation:				'				
Request Early	Publica	ation (Fee required	at	time of Request	37 CFR 1.2	219)				
Request Not to Publish. I hereby request that the attached application not be published under  35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.										
Representative Information:										
Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.										
Please Select One	:	Customer Num	ber	◯ US Pate	nt Practitione	er C	) Limited Recognition	on (37 CFI	R 11.9)	
Customer Number		22918								

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ita Shoot 37 CED 1 76	Attorney Docket Number	128682-8001.US00
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	SOLAR TRACKER SYSTEM		

## **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the "Application Number" field blank.

Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the **Add** button.

## **Foreign Priority Information:**

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>1</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove				
Application Number	Country	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)				
Additional Foreign Priority Data may be generated within this form by selecting the							
Add button.	- <del>-</del>						

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also
contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March
16, 2013, will be examined under the first inventor to file provisions of the AIA.

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Application Da	ata Sheet 37 CFR 1.76	Attorney Docket Number	128682-8001.US00
Application Da	ita Sileet 37 Ol IX 1.70	Application Number	
Title of Invention	SOLAR TRACKER SYSTEM		

## **Authorization or Opt-Out of Authorization to Permit Access:**

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

<u>NOTE</u>: This section of the Application Data Sheet is <u>ONLY</u> reviewed and processed with the <u>INITIAL</u> filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

- 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)
- A. <u>Priority Document Exchange (PDX)</u> Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).
- **B.** Search Results from U.S. Application to EPO Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

- A. Applicant <u>DOES NOT</u> authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.
   B. Applicant <u>DOES NOT</u> authorize the USPTO to transmit to the EPO any search results from the instant pate
- B. Applicant <u>DOES NOT</u> authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

**NOTE:** Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

Case 1:21-cv-03556-RA-VF Document 17-1 Filed 07/16/20ved for agranged and 30/2017. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application Da	ata Sheet 37 CFR 1.76	Attorney Docket Number	128682-8001.US00
Application Da	ita Sileet 37 Ol IX 1.70	Application Number	
Title of Invention	SOLAR TRACKER SYSTEM		

# **Applicant Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.						
Applicant 1						
If the applicant is the inventor (of The information to be provided if 1.43; or the name and address who otherwise shows sufficient applicant under 37 CFR 1.46 (aproprietary interest) together with identified in this section.	in this section is the name of the assignee, person to proprietary interest in the ssignee, person to whom	and address of the whom the invent matter who is the the inventor is ob	ne legal representa or is under an oblig applicant under 37 ligated to assign, o	tive who is ation to as CFR 1.46 r person w	the applicant under 37 CFR sign the invention, or person . If the applicant is an ho otherwise shows sufficient	
○ Assignee	○ Assignee ○ Legal Representative under 35 U.S.C. 117 ○ Joint Inventor				Joint Inventor	
Person to whom the inventor	r is obligated to assign.	0	Person who sho	ows sufficie	ent proprietary interest	
If applicant is the legal repres	sentative, indicate the a	authority to file the	ne patent applicat	tion, the ir	nventor is:	
Name of the Deceased or Le	egally Incapacitated Inv	entor:				
If the Applicant is an Organization check here.						
Organization Name FC	Organization Name FCX Solar LLC					
Mailing Address Informat	ion For Applicant:					
Address 1	3 Lamson Rd.	mson Rd.				
Address 2						
City	Mont Vernon	Sta	State/Province		NH	
Country US		Po	stal Code	03057		
Phone Number		Fa	x Number			
Email Address		<u>.</u>				
Additional Applicant Data may be generated within this form by selecting the Add button.						

# **Assignee Information including Non-Applicant Assignee Information:**

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Application Data Sheet 37 CFR 1.76			Attorney Docket Number		128682	128682-8001.US00		
			Application Number					
Title of Inven	Title of Invention SOLAR TRACKER SYSTEM							
Assignee	Assignee 1							
Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.								
If the Assigne	ee or Non-A	Applicant Assignee is an	Organization o	check here.				
Prefix		Given Name	Middle Name	е	Family N	ame S	Suffix	
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Address 1								
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Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.								
Signature	:							
NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).  This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants.  See 37 CFR 1.4(d) for the manner of making signatures and certifications.								
Signature /brian r. coleman/			Date (	YYYY-MM-DD)	2018-02-13			

EFS Web 2.2.12 27

Last Name

Additional Signature may be generated within this form by selecting the Add button.

Coleman

Registration Number

39,145

First Name

Brian R.

Case 1:21-cv-03556-RA-VF Document 17-1 Filed 07/16/201ed in Page 17-29 04:30/2017. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application Da	ita Sheet 37 CFR 1.76	Attorney Docket Number	128682-8001.US00
Application ba	ita Sheet 37 Cl IX 1.70	Application Number	
Title of Invention	SOLAR TRACKER SYSTEM		

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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The information provided by you in this form will be subject to the following routine uses:

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
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- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Attorney Docket No. 128682-8001.US00

### SOLAR TRACKER SYSTEM

**[0001]** The present application is related to solar tracker systems for solar panels.

#### **BACKGROUND**

[0002] Photovoltaic (PV) power systems frequently track the sun to various degrees to increase an amount of energy produced by the system. These trackers typically move photovoltaic modules to adjust an angle of incidence of the sunlight on the surface of the PV modules. In particular, trackers typically rotate the PV modules around an axis principally oriented north to south, tilting the modules to as much as 60 degrees towards the east and west and adjusting tilt within this range throughout the day. By tracking the position of the sun, PV power systems often produce 20-30% more energy than fixed-tilt systems.

[0003] A common configuration of horizontal single-axis trackers ("SAT") as described above includes a single actuator near the center of a row of PV modules, potentially with 80-120 modules tilted by a single actuator. The angle of tilt is defined by the position of the actuator, while a torque tube or other similar device transfers moments and positions the rest of the row at this tilt. However, environmental loading (wind, snow, dead load, etc.) can twist portions of a row away from the intended tilt angle. This effect requires design considerations that add cost in order to decrease risk of failures.

[0004] To reduce row twist, some PV systems may have shorter row lengths or more than one actuator per row. These approaches can reduce the risk of system failure from excessive row twist, but may increase the PV system cost as well as overhead and maintenance costs. Furthermore, when multiple actuators are used, the actuators within a row must communicate such that, for example, other actuators stop moving if one actuator fails. This communication can be by electronic, mechanical, or other means. However, this active control brings additional failure modes that must be considered in the design of the PV system.

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**PATENT** 

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### BRIEF DESCRIPTION OF THE DRAWINGS

**[0005]** FIG. 1 illustrates a photovoltaic system, according to one embodiment.

**[0006]** FIG. 2 illustrates an example Durst curve.

**[0007]** The figures depict various embodiments of this disclosure for purposes of illustration only. One skilled in the art can readily recognize from the following discussion that alternative embodiments of the structures and methods illustrated herein can be employed without departing from the principles of the invention described herein.

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### **DETAILED DESCRIPTION**

[0008] FIG. 1 illustrates a photovoltaic (PV) system 100, according to one embodiment. As shown in FIG. 1, the PV system 100 may include a collection of PV modules110, an actuator 120, a controller 130, and a damper 140. The PV system 100 is configured to generate electricity, and may be used alone or with other similar photovoltaic systems in, for example, a photovoltaic power station.

[0009] The collection of PV modules 110 includes an array of one or more photovoltaic modules configured to convert solar energy into electricity by the photovoltaic effect. The collection of PV modules 110 is rotatably anchored to a base 115, and may be coupled to a power grid, battery, or other power transmission or storage system. The amount of electricity produced by each photovoltaic module may be a function of the angle of incidence of light on the surface of the module, where more energy is captured when light is perpendicular to the surface (*i.e.*, a zero-degree angle of incidence) than when light is incident at higher angles.

around one or more axes. The actuator 120 may be a linear actuator coupled to the PV module collection 110 and a fixed position, such as the base 115. Increasing or decreasing the length of the linear actuator changes a tilt angle of the collection of PV modules 110 with respect to the base 115. Other types of actuators may be used in other embodiments. For example, the PV module collection 110 may be mounted on an axle and a rotary actuator may drive the axle to rotate the collection of PV modules 110 around an axis. In one embodiment, the actuator 120 rotates the collection of PV modules 110 around an axis centered at the base 115 and geographically oriented substantially north to south, such that a surface of the PV module 110 can be tilted between east- and west-facing angles. The actuator 120 may also rotate the collection of PV modules 110 around additional axes (*e.g.*, an east-west axis), or the photovoltaic system 100 may include one or more additional actuators to cause other movements of the collection of PV modules 110.

**[0011]** The controller 130 drives the actuator 120 to set a tilt angle of the collection of PV modules 110. To increase the amount of energy captured by the collection of PV

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modules 110, the controller 130 may set the tilt angle based on a position of the sun. In one embodiment, the controller 130 is coupled to a light sensor (not shown in FIG. 1) to detect a position of the sun during the day. As the day progresses, the controller 130 may drive the actuator 120 to move the PV module collection 110 to follow the detected movement of the sun. Thus, the controller 130 drives the actuator 120 to move the PV module collection 110 from an orientation facing substantially east to an orientation facing substantially west. Overnight, the controller 130 may drive the actuator 120 to return the collection of PV modules 110 to an east-facing orientation in preparation for sunrise the next morning, or the controller 130 may drive the actuator 120 to rotate the PV module collection 110 in response to detecting sunlight in the east. The controller 130 may alternatively control the tilt angle of the PV module collection 110 without light feedback, for example based on time of day.

[0012] The damper 140 provides damping for the PV system 100 to mitigate dynamic wind loading or other vibrational loads applied to the PV system 100. Wind loading can induce motion in PV system 100, for example rotating the collection of PV modules 110 around the base at a velocity multiple orders of magnitude higher than the motion induced by the actuator 120. Although the damper 140 is shown in FIG. 1 as a component separate from the actuator 120 for purposes of illustration, the damper 140 may be incorporated into or positioned concentric to the actuator 120.

State of the actuator 120. Different damping ratio that varies as a function of the operating state of the actuator 120. Different damping ratios may be advantageous for different operating states. For example, a high damping ratio enables the damper 140 to dissipate more energy, and therefore better mitigates undesired oscillations of the PV system 100 under wind loading than a low damping ratio. A high damping ratio also potentially enables the damper 140 to bear a portion of the static load of the PV module collection 110 and dynamic loads caused by environmental conditions, reducing the load on the actuator 120. However, a high damping ratio may cause the damper 140 to resist movement of the actuator 120. The resistance may twist the PV module 110 away from its intended orientation with respect to the sun. As a result of the modified angle of incidence

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caused by this "propeller effect," the collection of PV modules 110 may generate less electricity. If twisted more than a few degrees, operation of the collection of PV modules 110 may fall outside acceptable specifications. A low damping ratio, in contrast, reduces the twist by providing lower resistance to movement of the actuator 120.

[0014] Accordingly, the variable damping ratio of the damper 140 may be relatively low at low speeds (*e.g.*, while the actuator 120 is moving the collection of PV modules 110) and relatively high at higher speeds (*e.g.*, under dynamic wind loading). The higher damping ratio of the damper 140 may enable the damper 140 to support a portion of the loading on the PV system 100, including the static load of the PV module collection 110 (*e.g.*, the weight of the collection 110) and static or dynamic loading caused by environmental conditions such as wind, snow, or dust. The lower damping ratio reduces the damper's resistance to movement caused by the actuator 120. The damping ratio of the damper 140 may be adjusted passively based on the operating state of the actuator 120, such as the actuation rate. The damping ratio may therefore be adjusted without active control by the controller 130.

[0015] In one embodiment, the damper 140 includes a damper piston with a small diameter port and a large diameter port, where the large diameter port is controlled by a valve. The damper piston moves through fluid contained in a damper chamber. At low speeds, the fluid can flow freely through the large diameter port and provide little resistance to the movement of the piston. At higher speeds, the valve is pushed closed and the fluid is forced through the small diameter port. The resistance provided by the fluid flow through the small diameter port increases the effective damping ratio of the damper 140.

[0016] Other embodiments of the damper 140 may passively regulate the damping ratio in other manners. For example, valves may regulate fluid flow through multiple equally or differently sized ports in the damper piston. At lower speeds, the valves are open to allow the fluid to flow through several or all of the ports. At higher speeds, the valves close the port and force the fluid to flow through a smaller number of ports. As another example, the damper 140 may include a non-Newtonian fluid that has lower viscosity at low piston speeds and higher viscosity at high piston speeds.

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The PV system 100 may be designed based on wind speed in the area where the system will be installed. In particular, the PV system 100 may be designed to withstand expected peak loads from the area's wind conditions following a protocol such as ASCE 7. FIG. 2 illustrates an example Durst curve, which relates average wind speed to gust duration, that may be used in such protocols. As shown in FIG. 2, average wind speeds are higher for shorter measurements of gust duration than for longer measurements. Because the damper 140 has a higher damping ratio under wind loading and bears a portion of the load on the collection of PV modules 110, the PV system 100 may be designed based on longer gust durations—and therefore lower wind speeds—than photovoltaic systems lacking the damper 140. The design for lower wind speeds may reduce the amount of material used to construct the base 115, the actuator 120, and the collection of PV modules 110, and may reduce overhead and maintenance costs for the PV system 100.

### Remarks

[0018] The foregoing description of various embodiments of the claimed subject matter has been provided for the purposes of illustration and description. It is not intended to be exhaustive or to limit the claimed subject matter to the precise forms disclosed. Many modifications and variations can be apparent to one skilled in the art. Embodiments were chosen and described in order to best describe the principles of the invention and its practical applications, thereby enabling others skilled in the relevant art to understand the claimed subject matter, the various embodiments, and the various modifications that are suited to the particular uses contemplated.

**[0019]** While embodiments have been described in the context of fully functioning computers and computer systems, those skilled in the art can appreciate that the various embodiments are capable of being distributed as a program product in a variety of forms, and that the disclosure applies equally regardless of the particular type of machine or computer-readable media used to actually effect the distribution.

**[0020]** Although the above Detailed Description describes certain embodiments and the best mode contemplated, no matter how detailed the above appears in text, the

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embodiments can be practiced in many ways. Details of the systems and methods can vary considerably in their implementation details, while still being encompassed by the specification. As noted above, particular terminology used when describing certain features or aspects of various embodiments should not be taken to imply that the terminology is being redefined herein to be restricted to any specific characteristics, features, or aspects of the invention with which that terminology is associated. In general, the terms used in the following claims should not be construed to limit the invention to the specific embodiments disclosed in the specification, unless those terms are explicitly defined herein.

Accordingly, the actual scope of the invention encompasses not only the disclosed embodiments, but also all equivalent ways of practicing or implementing the embodiments under the claims.

[0021] The language used in the specification has been principally selected for readability and instructional purposes, and it cannot have been selected to delineate or circumscribe the inventive subject matter. It is therefore intended that the scope of the invention be limited not by this Detailed Description, but rather by any claims that issue on an application based hereon. Accordingly, the disclosure of various embodiments is intended to be illustrative, but not limiting, of the scope of the embodiments, which is set forth in the following claims.

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#### **CLAIMS**

What is claimed is:

1. A photovoltaic system, comprising:

a collection of photovoltaic modules;

an actuator coupled to the photovoltaic modules and configured to rotate the photovoltaic modules around an axis; and

a damper coupled to the photovoltaic modules, wherein the damper has a variable damping ratio.

2. The photovoltaic system of claim 1, further comprising:

a controller in electronic communication with the actuator and configured to drive the actuator to rotate the photovoltaic module around an axis;

wherein the damping ratio of the damper is set independently of the controller.

- 3. The photovoltaic system of claim 1, wherein the damper has a first damping ratio while the actuator is rotating the photovoltaic module and a second damping ratio under wind loading of the photovoltaic module, wherein the second damping ratio is higher than the first damping ratio.
- 4. The photovoltaic system of claim 1, wherein the damper supports at least a portion of a load placed on the photovoltaic system by an environmental condition..

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### ABSTRACT OF THE DISCLOSURE

A photovoltaic system includes photovoltaic modules and an actuator and a damper coupled to the photovoltaic modules. The actuator is configured to rotate the photovoltaic modules around an axis, for example to track a position of the sun. The damper has a variable damping ratio.

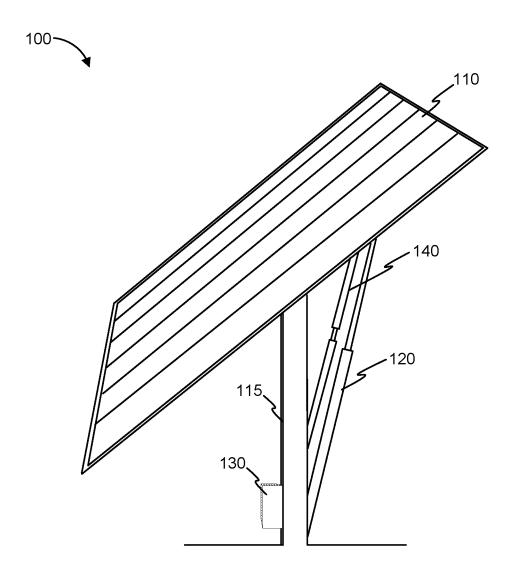


FIG. 1

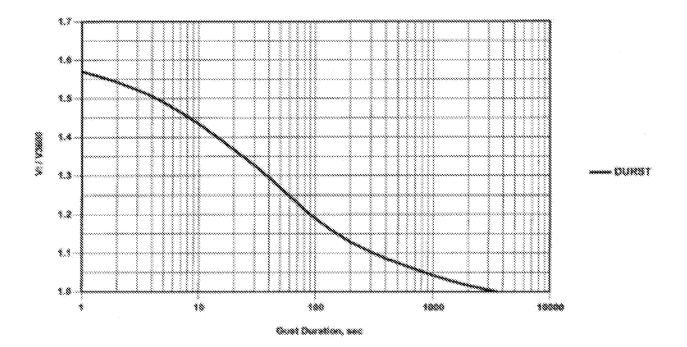


FIG. 2

Case 1:21-cv-03556-RA-VF Document 17-1 Filed 07/16/21 Page 42 of 74  Electronic Acknowledgement Receipt							
EFS ID:	35137902						
Application Number:	16274557						
International Application Number:							
Confirmation Number:	1416						
Title of Invention:	SOLAR TRACKER SYSTEM						
First Named Inventor/Applicant Name:	Christopher Thomas Needham						
Customer Number:	22918						
Filer:	Kristen Leigh Schunter/Crystal Fong						
Filer Authorized By:	Kristen Leigh Schunter						
Attorney Docket Number:	128682-8001.US01						
Receipt Date:	13-FEB-2019						
Filing Date:							
Time Stamp:	13:34:28						
Application Type:	Utility under 35 USC 111(a)						

## **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$865
RAM confirmation Number	021319INTEFSW13350000
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

	Case 1:21-cv-03556-RA-VF	Document 17-1 Filed	<del>07/16/21 Page 43</del>	3 of 74		
File Listin	g:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.	
			294519			
1	Power of Attorney	POA_1.pdf	37b9732f360e7282b222bd75de3335c446a bbe1c	no	2	
Warnings:						
Information:						
			323882			
2	Application Data Sheet	ADS_1.pdf		no	8	
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Information:						
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3		Specification_1.pdf	2060e79536e6ec5951144808779da90c7c0 f35ee	yes	15	
	Multip	 	.zip description			
•	Document Des	scription	Start	End		
	Abstrac	t	15	15		
	Claims		11	14		
	Specificat	ion	1	10		
Warnings:						
Information:						
			349949			
4	Drawings-only black and white line drawings	Drawings.pdf	98ba841febe8b9cad33a50db37eaa085955 90b16	no	3	
Warnings:						

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5	Fee Worksheet (SB06)	fee-info.pdf	36789 10510935f4536729852056c578cba1c2c3b bf05d	no	2
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Patent Application Fee Transmittal							
Application Number:							
Filing Date:							
Title of Invention:	SOLAR TRACKER SYSTEM						
First Named Inventor/Applicant Name:	Christ	opher Thomas N	leedham				
Filer:	Kriste	n Leigh Schunte	r/Crystal Fong				
Attorney Docket Number:	128682-8001.US01						
Filed as Small Entity							
Filing Fees for Utility under 35 USC 111(a)							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:	•						
UTILITY FILING FEE (ELECTRONIC FILING)		4011	1	75	75		
UTILITY SEARCH FEE		2111	1	330	330		
UTILITY EXAMINATION FEE		2311 1 380			380		
Pages:	·						
Claims:							
Miscellaneous-Filing:							
LATE FILING FEE FOR OATH OR DECLARATION		2051	1	80	80		
Petition:							

Case 1:21-cv-03556-RA-VF Docur  Description	<del>nent 17-1 File</del> Fee Code	Quantity	Page 46 of Amount	<del>74</del> Sub-Total in USD(\$)
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD (	(\$)	865

Doc Code: PACase 1:21-cv-03556-RA-VF Document 17-1 Filed 07/16/21 Page 47 of 74

Document Description: Power of Attorney

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE REGISTERED PRACTITIONERS

NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form, if neither form PTO/AIA/82A nor form PTO/AIA/82B identifies the application to which the Power of Attorney is

Application Numb	er	Not Yet Assigned					
Filing Date	000000000000000000000000000000000000000	Concurrently Herewith	000000000000000000000000000000000000000				
First Named Inve	ntor	Christopher Thomas Needham					
Title		SOLAR TRACKER SYSTEM					
Art Unit	000000000000000000000000000000000000000	Not Yet Assigned					
Examiner Name		Not Yet Assigned					
Attorney Docket I	Vumber	128682-8001.US01					
SIGNATU	JRE of A	oplicant or Patent Practitioner		,			
Signature	/Krist	en Schunter/	Date (Optional)	February 13, 2019			
Name	Kristen I	Schunter	Registration Number	76,519			
Title (if Applicant is a juristic entity)							
Applicant Name (if Ap							
NOTE: This form must more than one applica		in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) foliple forms.	r signature requir	ements and certifications. If			
*Total of 1		forms are submitted.					

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

## Case 1:21-cv-03556-RA-VF Document 17-1 Filed 07/16/21 Page 48 of 74

Doc Code: PA..

Document Description: Power of Attorney

PTO/AIA/82B (07-13)
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## POWER OF ATTORNEY BY APPLICANT

I hereby revoke all the boxes below.	previou	us powers of attorney given	in the applicati	on identi	fied in <u>either</u> the	attached	transmittal letter or
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		nventor (title not required below tive of a Deceased or Legally In		entor (title	not required belo	w)	
Legal Rep	resentat	on to Whom the Inventor is Und	er an Obligation	to Assian	(provide signer's	title if appli	cant is a juristic entity)
Assignee o	or Perso	rwise Shows Sufficient Propriet	ary Interest (e.n.	a netitio	n under 37 CFR 1	.46(b)(2) w	as granted in the
application	no Otner	oncurrently being filed with this	gocument) (brov	nge signer	Sine ii applicant	is a juristic	entity)
		SIGNAT	TURE of Applic	ant for Pa	itent		
The undersigned	l (whose	title is supplied below) is authorize	zed to act on beh	alf of the a	pplicant (e.g., whe	re the applic	cant is a junstic entity).
Signature		gen und			Date (Optional)	2-14-	- 14
Name		Chais Needhan	A				THE RESERVE OF THE PROPERTY OF
Title		Co-owny			OED 1 32 Sec 27	CER 1 A for	r signature requirements
NOTE: Signatur and certifications	re - This s. If more	form must be signed by the applies than one applicant, use multiple	cant in accordant forms.	ce with 3/	UFR 1.33. 388 31	U(1) 1.4 (U)	and the second second
Total of 1	·	forms are submitted.				<u></u>	000000nnc655519999999999999999

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	128682-8001.US01
Application ba	ita Sheet 37 Of It 1.70	Application Number	
Title of Invention	SOLAR TRACKER SYSTEM		
bibliographic data arrar This document may be	nged in a format specified by the Un	ited States Patent and Trademark O mitted to the Office in electronic for	being submitted. The following form contains the office as outlined in 37 CFR 1.76.  The remaining the Electronic Filing System (EFS) or the

## **Secrecy Order 37 CFR 5.2:**

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant t
☐ 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

## **Inventor Information:**

Invent	<u> </u>	1								Re	emove	
Legal I	Name											
Prefix	Give	en Name		Middle Name			Fan	nily	Name		Suffix	
	Chris	stopher			Thomas			Nee	dhan	n		
Resid	ence	Information	(Select One)	•	US Residency	0	Non US R	esidenc	y (	Active	e US Military Service	) }
City	Mou	ntain View		St	ate/Province	н	Coun	try of F	Resi	dence	US	
Mailing	Addr	ess of Inven	tor:									
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Addre	ss 2											
City		Mont Vernon					State/Provin			NH		
Postal	Code	•	03057			Cou	intry i	US				
Invent	or	2	•					•		Re	emove	
Legal I	Name	_										
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	Fran	k			Carl			Oudheusden				
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## **Correspondence Information:**

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).

Case 1:21-cv-03556-RA-VF Document 17-1 Filed 07/16/proted for agriculture of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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Application Data S	Sheet 37 CF	R 1.76	Application Nu					
Title of Invention SC	LAR TRACKER	SYSTEM						
☐ An Address is bei	ng provided fo	or the co	rrespondence	nformation	of this a	application.		
Customer Number	22918							
Email Address	patentproc	curement@	perkinscoie.com			Add Email	Remove	Email
Application Info	rmation:							
Title of the Invention	SOLAR TI	RACKER	SYSTEM					
Attorney Docket Num	<b>ber</b> 128682-80	001.US01		Small Ent	tity Stat	us Claimed 🔀		
Application Type	Nonprovis	ional						
Subject Matter	Utility							
Total Number of Draw	ing Sheets (if	any)	3	Suggest	ed Figu	re for Publication	(if any)	
Filing By Refere	nce:							
provided in the appropriate s For the purposes of a filing d	ection(s) below (i ate under 37 CFR ed application, su	iling an application by reference under 35 U.S.C. 111(c) and 37 CF cification and any drawings are being filed. Any domestic benefit n(s) below (i.e., "Domestic Benefit/National Stage Information" and are 37 CFR 1.53(b), the description and any drawings of the presoplication, subject to conditions and requirements of 37 CFR 1.57(busly Filing date (YYYY-MM-DD)			ation" and the preser IFR 1.57(a	and "Foreign Priority Information"). resent application are replaced by this		
Publication Info	rmation:							
Request Early Put	lication (Fee re	equired a	t time of Reques	t 37 CFR 1.2	219)			
35 U.S.C. 122(b) a	and certify that cation filed in a	t the inver	ntion disclosed i	n the attache	d applica	tion not be publishe ation has not and tional agreement, t	<b>will not</b> b	
Representative Information:								
Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.								
Please Select One:	Custom	er Numbe	r US Pat	ent Practitione	er O	Limited Recognitio	n (37 CFR	11.9)
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Application Da	ita Shoot 37 CED 1 76	Attorney Docket Number	128682-8001.US01
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	SOLAR TRACKER SYSTEM		

## **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the "Application Number" field blank.

Prior Application Status	Pending	Remove	
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
	Claims benefit of provisional	62629931	2018-02-13

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the **Add** button.

## **Foreign Priority Information:**

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>1</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove
Application Number	Country <sup>i</sup>	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)
Additional Foreign Priority  Add button.	Data may be generated wit	hin this form by selecting the	

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

	This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also
	contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
$  \square$	16, 2013.
	NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March
	16, 2013, will be examined under the first inventor to file provisions of the AIA.

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Application Da	ita Shoot 37 CED 1 76	Attorney Docket Number	128682-8001.US01
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	SOLAR TRACKER SYSTEM		

## Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

<u>NOTE</u>: This section of the Application Data Sheet is <u>ONLY</u> reviewed and processed with the <u>INITIAL</u> filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

- 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)
- A. <u>Priority Document Exchange (PDX)</u> Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).
- **B.** Search Results from U.S. Application to EPO Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

2. (	Opt-Out of Authorizations	to Permit A	Access by a F	Foreian Inte	ellectual Pro	perty Office(s)
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A. Applicant <b>DOES NOT</b> authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.
B. Applicant <u>DOES NOT</u> authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

**NOTE:** Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

Case 1:21-cv-03556-RA-VF Document 17-1 Filed 07/16/20ved for again 0430/2017. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application Da	uta Sheet 37 CED 1 76	Attorney Docket Number	128682-8001.US01
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	SOLAR TRACKER SYSTEM		

## **Applicant Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.					
Applicant 1					
1.43; or the name and address on who otherwise shows sufficient papplicant under 37 CFR 1.46 (as	n this se of the as propriet ssignee	ection is the name and address ssignee, person to whom the in ary interest in the matter who is , person to whom the inventor i	of the legal representation of the legal representation of the ventor is under an obligate the applicant under 37 sobligated to assign, or	ive whation to CFR 1 perso	o is the applicant under 37 CFR assign the invention, or person
Assignee		C Legal Representative un	der 35 U.S.C. 117		) Joint Inventor
Person to whom the inventor	r is oblig	ated to assign.	Person who sho	ws suf	ficient proprietary interest
If applicant is the legal repres	sentativ	e, indicate the authority to f	ile the patent applicati	on, th	e inventor is:
Name of the Deceased or Le	egally li	ncapacitated Inventor:			
If the Applicant is an Organ	ization	check here.			
Organization Name FC	X Solar	LLC			
Mailing Address Informati	ion Fo	r Applicant:			
Address 1	3 Lam	son Rd.			
Address 2					
City	Mont \	/ernon	State/Province	NH	
Country US			Postal Code	030	57
Phone Number			Fax Number		
Email Address					
Additional Applicant Data may be generated within this form by selecting the Add button.					

## **Assignee Information including Non-Applicant Assignee Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

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Application Data Sheet 37 CFR 1.76			Attorney Doo	cket Number	128682	-8001.US01	
			Application N	Number			
Title of Inven	tion SOI	LAR TRACKER SYSTEM	I				
Assignee	1						
application publi	ication. An a n applicant.	ignee information, includi assignee-applicant identif For an assignee-applicar n.	ied in the "Applica	ant Informatior	n" section w	ill appear on the p	atent application
If the Assigne	ee or Non-A	Applicant Assignee is a	n Organization	check here.			]
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Mailing Addre	ess Inform	ation For Assignee ii	ncluding Non-A	Applicant As	ssignee:		
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entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, <u>all</u> joint inventors who are the applicant, or one or more joint inventor-applicants who have been given							
power of attorr	ney (e.g., s	ee USPTO Form PTO for the manner of maki	/AIA/81) on beh	alf of <u>all</u> join	t inventor-		
Signature	ignature /Kristen Schunter/			Date	(YYYY-MM-DD)	2019-02-13	
First Name	Kristen L.	Last Name	Schunter		Regist	ration Number	76,519
Additional Signature	Additional Signature may be generated within this form by selecting the Add button.						

Case 1:21-cv-03556-RA-VF Document 17-1 Filed 07/16/201ed fP agg 6755 0450/2017. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application Da	ata Sheet 37 CED 1 76	Attorney Docket Number	128682-8001.US01
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	SOLAR TRACKER SYSTEM		

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The information provided by you in this form will be subject to the following routine uses:

- 1 The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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#### SOLAR TRACKER SYSTEM

#### CROSS-REFERENCE TO RELATED APPLICATION

**[0001]** This application claims the benefit of U.S. Provisional Patent Application Serial No. 62/629,931, filed February 13, 2018, which is incorporated herein by reference in its entirety.

#### TECHNICAL FIELD

**[0002]** The present application is related to solar tracker systems for solar panels.

#### **BACKGROUND**

[0003] Photovoltaic (PV) power systems frequently track the sun to various degrees to increase an amount of energy produced by the system. These trackers typically move photovoltaic modules to adjust an angle of incidence of the sunlight on the surface of the PV modules. In particular, trackers typically rotate the PV modules around an axis principally oriented north to south, tilting the modules to as much as 60 degrees towards the east and west and adjusting tilt within this range throughout the day. By tracking the position of the sun, PV power systems often produce 20-30% more energy than fixed-tilt systems.

**[0004]** A common configuration of horizontal single-axis trackers ("SAT") as described above includes a single actuator near the center of a row of PV modules, potentially with 80-120 modules tilted by a single actuator. The angle of tilt is defined by the position of the actuator, while a torque tube or other similar device transfers moments and positions the rest of the row at this tilt. However, environmental loading (wind, snow, dead load, etc.) can twist portions of a row away from the intended tilt angle. This effect requires design considerations that add cost in order to decrease risk of failures.

**[0005]** To reduce row twist, some PV systems may have shorter row lengths or more than one actuator per row. These approaches can reduce the risk of system failure from excessive row twist, but may increase the PV system cost as well as overhead and

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maintenance costs. Furthermore, when multiple actuators are used, the actuators within a row must communicate such that, for example, other actuators stop moving if one actuator fails. This communication can be by electronic, mechanical, or other means. However, this active control brings additional failure modes that must be considered in the design of the PV system.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

**[0006]** FIG. 1 illustrates a photovoltaic system, according to one embodiment.

**[0007]** FIGS. 2A-2C illustrate an example damper.

**[0008]** FIG. 3 illustrates an example Durst curve.

**[0009]** The figures depict various embodiments of this disclosure for purposes of illustration only. One skilled in the art can readily recognize from the following discussion that alternative embodiments of the structures and methods illustrated herein can be employed without departing from the principles of the invention described herein.

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#### **DETAILED DESCRIPTION**

**[0010]** FIG. 1 illustrates a photovoltaic (PV) system 100, according to one embodiment. As shown in FIG. 1, the PV system 100 may include a collection of PV modules 110, an actuator 120, a controller 130, and a damper 140. The PV system 100 is configured to generate electricity, and may be used alone or with other similar photovoltaic systems in, for example, a photovoltaic power station.

[0011] The collection of PV modules 110 includes an array of one or more photovoltaic modules configured to convert solar energy into electricity by the photovoltaic effect. The collection of PV modules 110 is rotatably anchored to a base 115, and may be coupled to a power grid, battery, or other power transmission or storage system. The amount of electricity produced by each photovoltaic module can be a function of at least the angle of incidence of light on the surface of the module, where more energy is captured when light is perpendicular to the surface (*i.e.*, a zero-degree angle of incidence) than when light is incident at higher angles.

modules 110 around one or more axes. The actuator 120 may be a linear actuator coupled to the PV module collection 110 and a fixed position, such as the base 115. Increasing or decreasing the length of the linear actuator changes a tilt angle of the collection of PV modules 110 with respect to the base 115. Other types of actuators may be used in other embodiments. For example, the PV module collection 110 may be mounted on an axle and a rotary actuator may drive the axle to rotate the collection of PV modules 110 around an axis. In one embodiment, the actuator 120 rotates the collection of PV modules 110 around an axis centered at the base 115 and geographically oriented substantially north to south, such that a surface of the PV module 110 can be tilted between east- and west-facing angles. The actuator 120 may also rotate the collection of PV modules 110 around additional axes (*e.g.*, an east-west axis), or the photovoltaic system 100 may include one or more additional actuators to cause other movements of the collection of PV modules 110.

[0013] The controller 130drives the actuator 120 to set a tilt angle of the collection of PV modules 110. To increase the amount of energy captured by the collection of PV

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modules 110, the controller 130 may set the tilt angle based on a position of the sun. In one embodiment, the controller 130 is coupled to a light sensor (not shown in FIG. 1) to detect a position of the sun during the day. As the day progresses, the controller 130 may drive the actuator 120 to move the PV module collection 110 to follow the detected movement of the sun. Thus, the controller 130 drives the actuator 120 to move the PV module collection 110 from an orientation facing substantially east to an orientation facing substantially west. Overnight, the controller 130 may drive the actuator 120 to return the collection of PV modules 110 to an east-facing orientation in preparation for sunrise the next morning, or the controller 130 may drive the actuator 120 to rotate the PV module collection 110 in response to detecting sunlight in the east. The controller 130 may alternatively control the tilt angle of the PV module collection 110 without light feedback, for example based on time of day.

[0014] The damper 140provides damping for the PV system 100, resisting movement of the PV modules 110 relative to the base 115. Damping by the damper 140 can mitigate dynamic wind loading or other vibrational loads applied to the PV system 100. Wind loading can induce motion in PV system 100, for example rotating the collection of PV modules 110 around the base at a velocity multiple orders of magnitude higher than the motion induced by the actuator 120. Although the damper 140 is shown in FIG. 1 as a component separate from the actuator 120 for purposes of illustration, the damper 140 may be incorporated into or positioned concentric to the actuator 120.

[0015] The damper 140 has a variable damping ratio. The damper 140 can have at least a first damping ratio under a first operating condition and a second damping ratio under a second operating condition. Different damping ratios may be advantageous for different operating states. For example, a high damping ratio enables the damper 140 to dissipate more energy, and therefore better mitigates undesired oscillations of the PV system 100 under wind loading than a low damping ratio. A high damping ratio also potentially enables the damper 140 to bear a portion of the static load of the PV module collection 110 and dynamic loads caused by environmental conditions, reducing the load on the actuator 120. However, a high damping ratio may cause the damper 140 to provide

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enough resistance to the movement of the actuator 120 cause the PV module 110 to twist away from its intended orientation. As a result of the modified angle of incidence caused by this "propeller effect," the collection of PV modules 110maygenerate less electricity. If twisted more than a few degrees, operation of the collection of PV modules 110 may fall outside acceptable specifications. A low damping ratio, in contrast, reduces the twist by providing lower resistance to movement of the actuator 120.

[0016] Accordingly, the damper 140 can have a first damping ratio while the PV modules 110 move at a first rate. The damper 140 can have a second damping ratio, higher than the first damping ratio, during a second movement rate of the PV modules 110 that is higher than the first rate. For example, the damping ratio can be relatively low when the PV modules 110 move at low speeds relative to the base 115 (e.g., while the actuator 120 is moving the collection of PV modules 110 without high environmental loading) and relatively high when the PV modules 110 move at higher speeds relative to the base (e.g., under dynamic wind loading). The higher damping ratio of the damper 140 may enable the damper 140 to support a portion of the loading on the PV system 100, including the static load of the PV module collection 110 (e.g., the weight of the collection 110) and static or dynamic loading caused by environmental conditions such as wind, snow, or dust. The lower damping ratio reduces the damper's resistance to movement caused by the actuator 120. The damping ratio of the damper 140 can change passively based on the operating state of the actuator 120, such as the actuation rate. The damping ratio may therefore be adjusted without active control by, for example, the controller 130.

[0017] The higher damping ratio can have a value greater than 1 (such that the PV system 100 is overdamped), while not fully locking up the PV system 100 under loading by wind or other environmental conditions. That is, the damper 140 under the higher damping ratio allows some movement of the system 100 while providing resistance against that movement. However, in some embodiments, the damper 140 may fully lock up under high environmental loading.

[0018] FIGS. 2A-2C show one example damper 140. FIG. 2A is a bottom cutaway view of the damper 140, while FIGS. 2B-2C are a side cutaway view of the damper. The

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damper 140 can include a damper piston 210 that can move through fluid contained in a damper chamber 205. Any fluid or mixture of fluids can be contained within the damper chamber 205, such as air, water, or oil. The damper piston 210 includes at least two ports 215 that, when open, allow fluid to flow between the damper piston and damper chamber. The ports 215 are shown in FIG. 2A as being openings in a bottom end of the damper piston, but the ports can be located anywhere in the damper piston.

least one larger diameter port 215B. The larger diameter port 215A can be controlled by a valve 220. When the damper piston 210 moves through the fluid at low speeds (*e.g.*, while the PV modules 110 are rotated at a low speed by the actuator 120), the fluid can flow freely through the large diameter port 215B and provide little resistance to the movement of the piston. FIG. 2B illustrates an example of the piston 210 moving at a low speed through the fluid. As shown in FIG. 2B, the valve 220 is open and fluid can pass through the larger diameter port 215B to flow into or out of the damper piston 210. At higher speeds, the valve 220 is pushed closed and the fluid is forced through the smaller diameter port 215A. The resistance provided by the fluid flow through the small diameter port 215A increases the effective damping ratio of the damper 140. FIG. 2C illustrates an example of the piston 210 moving at a high speed through the fluid. As shown in FIG. 2C, the valve 220 is closed and fluid is forced through the smaller diameter port 215A to flow into or out of the damper piston 210.

[0020] The damper 140 may have configurations other than that shown in FIGS. 2A-2C and may passively regulate the damping ratio in other manners. For example, valves may regulate fluid flow through multiple equally or differently sized ports in the damper piston. At lower speeds, the valves are open to allow the fluid to flow through several or all of the ports. At higher speeds, the valves close the port and force the fluid to flow through a smaller number of ports. As another example, the damper 140 may include a non-Newtonian fluid that has lower viscosity at low piston speeds and higher viscosity at high piston speeds.

[0021] The PV system 100 may be designed based on wind speed in the area where

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the system will be installed. In particular, the PV system 100 may be designed to withstand expected peak loads from the area's wind conditions following a protocol such as ASCE 7. FIG. 3 illustrates an example Durst curve, which relates average wind speed to gust duration, that may be used in such protocols. As shown in FIG. 3, average wind speeds are higher for shorter measurements of gust duration than for longer measurements. Because the damper 140 has a higher damping ratio under wind loading and bears a portion of the load on the collection of PV modules 110, the PV system 100 may be designed based on longer gust durations--and therefore lower wind speeds--than photovoltaic systems lacking the damper 140. Furthermore, while the Durst curve shown in FIG. 3 assumes free, unobstructed wind speed, the PV system 100 will likely experience turbulent air flow as dynamic winds move around the structure. The average moments on the PV system 100 under turbulent flow may be even lower across longer gust durations than predicted by the Durst curve. Accordingly, at least one of the base 115, the actuator 120, and the PV modules 110 can be designed to withstand an average value of moments applied to the PV system 100 across a specified duration of time. This duration of time can be calculated based on wind tunnel testing, and can be, for example, approximately equivalent to a response time of the PV system 100 under target environmental loads. The design for lower wind speeds may reduce the amount of material used to construct the base 115, the actuator 120, and the collection of PV modules 110, and may reduce overhead and maintenance costs for the PV system 100.

In some embodiments, the higher damping ratio of the damper 140 is designed under wind tunnel testing to achieve a specified response time of the PV system 100 under high environmental loads. Because the higher damping ratio resists movement of the actuator 120, it may take longer for the actuator 120 to move the PV modules 110 to a specified angle under the higher damping ratio than under the lower damping ratio. The higher damping ratio can be selected such that the movement of the PV modules 110 through a designated angular distance (relative to the base 115) will take a specified amount of time if the PV system 100 is subjected to a specified amount of wind loading that is enough environmental loading to cause the damper 140 to transition to the higher damping

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ratio. For example, the higher damping ratio can be selected under wind tunnel testing such that the actuator moves the PV modules 110 thirty degrees relative to the base in 60 seconds while the PV system 100 is subjected to a specified amount of wind loading above a threshold wind speed. The higher damping ratio can be selected to allow faster or slower movements of the PV modules 110, such as 10 seconds, 30 seconds, or 120 seconds.

#### Other Considerations

[0023] The foregoing description of various embodiments of the claimed subject matter has been provided for the purposes of illustration and description. It is not intended to be exhaustive or to limit the claimed subject matter to the precise forms disclosed. Many modifications and variations can be apparent to one skilled in the art. Embodiments were chosen and described in order to best describe the principles of the invention and its practical applications, thereby enabling others skilled in the relevant art to understand the claimed subject matter, the various embodiments, and the various modifications that are suited to the particular uses contemplated.

**[0024]** While embodiments have been described in the context of fully functioning computers and computer systems, those skilled in the art can appreciate that the various embodiments are capable of being distributed as a program product in a variety of forms, and that the disclosure applies equally regardless of the particular type of machine or computer-readable media used to actually effect the distribution.

[0025] Although the above Detailed Description describes certain embodiments and the best mode contemplated, no matter how detailed the above appears in text, the embodiments can be practiced in many ways. Details of the systems and methods can vary considerably in their implementation details, while still being encompassed by the specification. As noted above, particular terminology used when describing certain features or aspects of various embodiments should not be taken to imply that the terminology is being redefined herein to be restricted to any specific characteristics, features, or aspects of the invention with which that terminology is associated. In general, the terms used in the following claims should not be construed to limit the invention to the specific embodiments

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disclosed in the specification, unless those terms are explicitly defined herein.

Accordingly, the actual scope of the invention encompasses not only the disclosed embodiments, but also all equivalent ways of practicing or implementing the embodiments under the claims.

**[0026]** The language used in the specification has been principally selected for readability and instructional purposes, and it cannot have been selected to delineate or circumscribe the inventive subject matter. It is therefore intended that the scope of the invention be limited not by this Detailed Description, but rather by any claims that issue on an application based hereon. Accordingly, the disclosure of various embodiments is intended to be illustrative, but not limiting, of the scope of the embodiments, which is set forth in the following claims.

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#### **CLAIMS**

What is claimed is:

- 1. A photovoltaic system, comprising:
- a collection of photovoltaic modules;
- a base supporting the collection of photovoltaic modules; and
- a damper coupled between the collection of photovoltaic modules and the base and resisting movement of the photovoltaic modules relative to the base, the damper having a first damping ratio when the collection of photovoltaic modules moves at a first rate relative to the base and a second damping ratio when the collection of photovoltaic modules moves at a second rate relative to the base, wherein the damper passively transitions from the first damping ratio to the second damping ratio.
- 2. The photovoltaic system of claim 1, further comprising an actuator coupled to the collection of photovoltaic modules and configured to move the collection of photovoltaic modules to change an angle of the collection of photovoltaic modules relative to the base.
- 3. The photovoltaic system of claim 2, wherein the actuator moves the collection of photovoltaic modules at the first rate.
- 4. The photovoltaic system of claim 3, wherein environmental loading moves the collection of photovoltaic modules at the second rate, and wherein the second damping ratio is higher than the first damping ratio.
- 5. The photovoltaic system of claim 2, further comprising a controller in electronic communication with the actuator and configured to drive the actuator to move the collection of photovoltaic modules relative to the base, wherein the damper transitions from the first damping ratio to the second damping ratio independently of the controller.
- 6. The photovoltaic system of claim 1, wherein the damper supports at least a portion of a load placed on the photovoltaic system by an environmental condition.

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- 7. The photovoltaic system of claim 1, wherein the second damping ratio is greater than critical damping of the photovoltaic system.
- 8. The photovoltaic system of claim 7, wherein the second damping ratio causes the damper to be fully locked against the movement of the photovoltaic modules relative to the base.
- 9. The photovoltaic system of claim 7, wherein the second damping ratio causes the damper to permit movement of the photovoltaic modules relative to the base while resisting the movement.
  - 10. The photovoltaic system of claim 1, wherein the damper comprises: a damper chamber containing a fluid;
- a damper piston configured to move through the fluid relative to the damper chamber;
  - a first port in the damper piston, the first port having a first diameter;
- a second port in the damper piston, the second port having a second diameter larger than the first diameter;
- a valve configured to open or close the second port such that the second port is open when the collection of photovoltaic modules moves at the first rate relative to the base and the second port is closed when the collection of photovoltaic modules moves at the second rate relative to the base, wherein the fluid contained in the damper chamber flows between the damper chamber and damper piston through both the first and second ports when the second port is open and only through the first port when the second port is closed.
- 11. The photovoltaic system of claim 1, wherein the second damping ratio allows the collection of photovoltaic modules to move a designated angular distance relative to the base in a specified amount of time under specified wind loading.

12. A photovoltaic system, comprising:

one or more photovoltaic modules;

a base coupled to the one or more photovoltaic modules and supporting the photovoltaic modules;

an actuator coupled to the one or more photovoltaic modules and configured to move the photovoltaic modules to dynamically change an angle of the one or more photovoltaic modules with respect to the base; and

a damper coupled between the photovoltaic modules and the base and resisting movement of the photovoltaic modules relative to the base, the damper having a first damping ratio when the actuator moves the photovoltaic modules and passively transitioning to a second damping ratio that is greater than the first damping ratio when environmental loads are applied to the photovoltaic modules.

- 13. The photovoltaic system of claim 12, further comprising a controller in electronic communication with the actuator and configured to drive the actuator to move the collection of photovoltaic modules relative to the base, wherein the damper transitions from the first damping ratio to the second damping ratio independently of the controller.
- 14. The photovoltaic system of claim 12, wherein the damper supports at least a portion of a load placed on the photovoltaic system by an environmental condition.
- 15. The photovoltaic system of claim 12, wherein the second damping ratio is greater than critical damping of the photovoltaic system.
- 16. The photovoltaic system of claim 15, wherein the second damping ratio causes the damper to be fully locked against the movement of the photovoltaic modules relative to the base.
- 17. The photovoltaic system of claim 15, wherein the second damping ratio causes the damper to permit movement of the photovoltaic modules relative to the base while resisting the movement.

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- 18. The photovoltaic system of claim 12, wherein the damper comprises: a damper chamber containing a fluid;
- a damper piston configured to move through the fluid relative to the damper chamber:
  - a first port in the damper piston, the first port having a first diameter;
- a second port in the damper piston, the second port having a second diameter larger than the first diameter;

a valve configured to open or close the second port such that the second port is open when the collection of photovoltaic modules moves at the first rate relative to the base and the second port is closed when the collection of photovoltaic modules moves at the second rate relative to the base, wherein the fluid contained in the damper chamber flows between the damper chamber and damper piston through the second port when the second port is open and through the first port when the second port is closed.

- 19. The photovoltaic system of claim 12, wherein the second damping ratio allows the collection of photovoltaic modules to move a designated angular distance relative to the base in a specified amount of time under specified wind loading.
- 20. The photovoltaic system of claim 12, wherein at least one of the photovoltaic modules, the base, or the actuator is designed to withstand an average value of moments applied to the photovoltaic system across a specified period of time.

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### ABSTRACT OF THE DISCLOSURE

A photovoltaic system includes a collection of photovoltaic modules, a base supporting the collection of photovoltaic modules, and a damper coupled between the collection of photovoltaic modules and the base. The damper resists movement of the photovoltaic modules relative to the base. The damper has a first damping ratio when the collection of photovoltaic modules moves at a first rate relative to the base and a second damping ratio when the collection of photovoltaic modules moves at a second rate relative to the base, and the damper passively transitions from the first damping ratio to the second damping ratio.

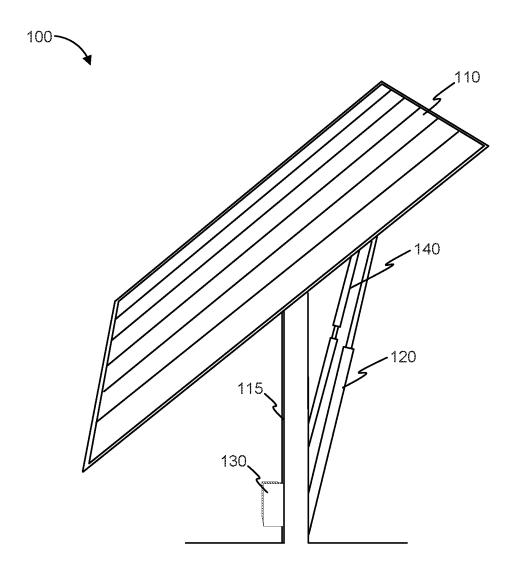


FIG. 1

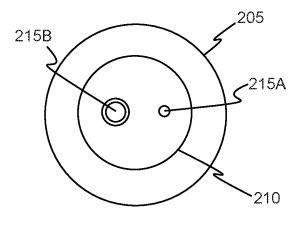
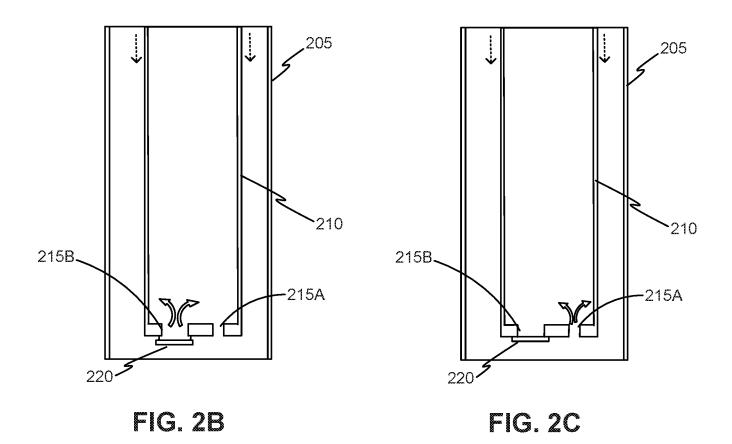


FIG. 2A



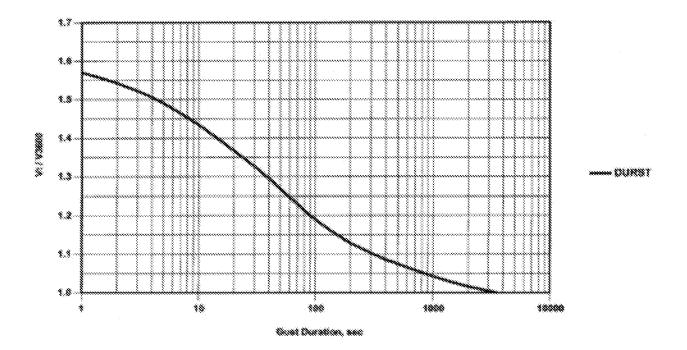


FIG. 3